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DESCRIPTION

PROCESS FOR PRODUCING OPTICALLY ACTIVE ALCOHOL

Technical Field

5 The present invention relates to a process for producing an optically active alcohol in the presence of a ruthenium metal complex or the like as a catalyst.

Background Art

Various processes for producing optically active 10 alcohols in the presence of metal complexes as catalysts have been reported to date. In particular, a process for synthesizing an optically active alcohol from a carbonyl compound in the presence of an asymmetric metal complex as a catalyst has been intently studied.

15 For example, Japanese Unexamined Patent Application Publication No. 2003-104993 reports several examples of producing optically active alcohols by hydrogenation of various ketone compounds in 2-propanol without addition of any base under pressurized hydrogen catalyzed by a 20 tetrahydroborate of an asymmetric ruthenium metal complex that has a diamine compound and a diphosphine compound, such as BINAP (2,2'-bis(diphenylphosphino)-1,1'-binaphthyl) or the like, coordinated to ruthenium. In particular, corresponding optically active alcohols are produced from acetophenone, 25 ethyl 4-acetylbenzoate, and 3-nonen-2-one.

Japanese Unexamined Patent Application Publication No.

Heill-322649 reports an example of producing a corresponding optically active alcohol by hydrogenation of m-trifluoromethylacetophenone in the presence of triethylamine and an azeotrope of formic acid and triethylamine 5 while using as a catalyst an asymmetric ruthenium metal complex in which a diphenylethylenediamine having a sulfonyl group on the nitrogen and a benzene derivative are coordinated to ruthenium.

However, when the catalyst disclosed in Japanese 10 Unexamined Patent Application Publication No. 2003-104993 is used, although an optically active alcohol can be produced from a ketone compound without any base, the yield or the enantiomeric excess is low for some reaction substrates. In Japanese Unexamined Patent Application Publication No. 15 Heill-322649, since triethylamine, which is an organic base, is necessary, production of an optically active alcohol from a reaction substrate, such as acetylene ketone which is unstable in the presence of bases, has been difficult.

20 Disclosure of Invention

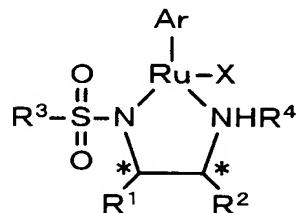
The present invention has been made to overcome the problems described above. An object of the present invention is to provide a process for producing an optically active alcohol from a ketone compound, hydrogenation of which has been 25 difficult, in high yield and with high stereoselectivity.

To overcome these problems, the present inventors have

studied the catalytic activity of many asymmetric ruthenium, rhodium, and iridium complexes, analyzed the principles of catalytic action, and developed a process of obtaining an optically active alcohol from a ketone compound, hydrogenation 5 of which has been difficult, in high yield and with high stereoselectivity based on extensive studies.

The present invention provides a first process for producing an optically active alcohol, including placing a metal complex represented by formula (1) and a ketone compound 10 in a polar solvent and stirring the mixture under pressurized hydrogen to hydrogenate the ketone compound and to thereby produce the optically active alcohol:

General Formula (1)



15 (where R<sup>1</sup> and R<sup>2</sup> may be the same or different and are each selected from the group consisting of an alkyl group, an optionally substituted phenyl group, an optionally substituted naphthyl group, and an optionally substituted cycloalkyl group, or together form an optionally substituted alicyclic ring;

20 R<sup>3</sup> is one selected from the group consisting of an alkyl group, a perfluoroalkyl group, an optionally substituted naphthyl group, an optionally substituted phenyl group, and a camphor group;

R<sup>4</sup> is a hydrogen atom or an alkyl group;

Ar is an optionally substituted benzene;

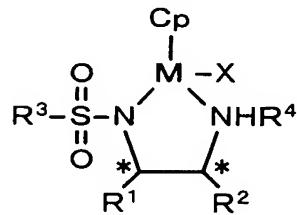
X is an anionic group; and

\* represents an asymmetric carbon.)

5 According to this first process, since hydrogenation of a ketone compound proceeds under pressurized hydrogen, an optically active alcohol can be obtained from the ketone compound, hydrogenation of which has been difficult, in high yield and with high stereoselectivity.

10 The present invention also provides a second process for producing an optically active alcohol including placing a metal complex represented by formula (2) and a ketone compound in a polar solvent and stirring the mixture under pressurized hydrogen to hydrogenate the ketone compound and to thereby 15 produce the optically active alcohol:

General Formula (2)



(where R<sup>1</sup> and R<sup>2</sup> may be the same or different and are each selected from the group consisting of an alkyl group, an

20 optionally substituted phenyl group, an optionally substituted naphthyl group, and an optionally substituted cycloalkyl group, or together form an optionally substituted alicyclic ring;

R<sup>3</sup> is one selected from the group consisting of an alkyl

group, a perfluoroalkyl group, an optionally substituted naphthyl group, an optionally substituted phenyl group, and a camphor group;

R<sup>4</sup> is a hydrogen atom or an alkyl group;

5 Cp is an optionally substituted cyclopentadiene;

M is rhodium or iridium;

X is an anionic group; and

\* represents an asymmetric carbon.)

Also according to this second process, since

10 hydrogenation of a ketone compound proceeds under pressurized hydrogen, an optically active alcohol can be obtained from the ketone compound, hydrogenation of which has been difficult, in high yield and with high stereoselectivity.

Examples of the alkyl group for R<sup>1</sup> and R<sup>2</sup> of general formula (1) or (2) include C<sub>1</sub>-C<sub>10</sub> alkyl groups, such as a methyl group, an ethyl group, an n-propyl group, an isopropyl group, an n-butyl group, a sec-butyl group, and a tert-butyl group. Examples of the optionally substituted phenyl group include an unsubstituted phenyl group, an alkyl-containing phenyl group, such as a 4-methylphenyl group or a 3,5-dimethylphenyl group, a phenyl group having a halogen substituent, such as a 4-fluorophenyl group or a 4-chlorophenyl group, and an alkoxy-containing phenyl group, such as a 4-methoxyphenyl group. Examples of the optionally substituted naphthyl group include an unsubstituted naphthyl group, a 5,6,7,8-tetrahydro-1-naphthyl group, and a

5,6,7,8-tetrahydro-2-naphthyl group. Examples of the optionally substituted cycloalkyl group include a cyclopentyl group and a cyclohexyl group. Examples of the substituted or unsubstituted alicyclic ring formed by R<sup>1</sup> and R<sup>2</sup> include a 5 cyclohexane ring formed by R<sup>1</sup> and R<sup>2</sup>. R<sup>1</sup> and R<sup>2</sup> are preferably both a phenyl group or preferably form a cyclohexane ring by binding each other.

Examples of the alkyl group for R<sup>3</sup> in general formula (1) or (2) include C<sub>1</sub>-C<sub>10</sub> alkyl groups such as a methyl group, 10 an ethyl group, an n-propyl group, an isopropyl group, an n-butyl group, a sec-butyl group, and a tert-butyl group. Examples of the perfluoroalkyl group include a trifluoromethyl group and a pentafluoroethyl group. Examples of the optionally substituted naphthyl group include an unsubstituted 15 naphthyl group, a 5,6,7,8-tetrahydro-1-naphthyl group, and a 5,6,7,8-tetrahydro-2-naphthyl group. Examples of the optionally substituted phenyl group include an unsubstituted phenyl group, an alkyl-containing phenyl group, such as a 4-methylphenyl group, a 3,5-dimethylphenyl group, a 20 2,4,6-trimethylphenyl group, and a 2,4,6-triisopropylphenyl group, a phenyl group having a halogen substituent, such as a 4-fluorophenyl group or a 4-chlorophenyl group, and an alkoxy-containing phenyl group, such as a 4-methoxyphenyl group.

25 Examples of the alkyl group for R<sup>4</sup> in general formula (1) or (2) include a methyl group and an ethyl group. R<sup>4</sup> is

preferably hydrogen.

Examples of Ar in general formula (1) include in addition to unsubstituted benzene, alkyl-containing benzene such as toluene, o-, m-, or p-xylene, o-, m-, or p-cymene, 1,2,3-, 5 1,2,4-, or 1,3,5-trimethylbenzene, 1,2,4,5- or 1,2,3,4-tetramethylbenzene, pentamethylbenzene, and hexamethylbenzene.

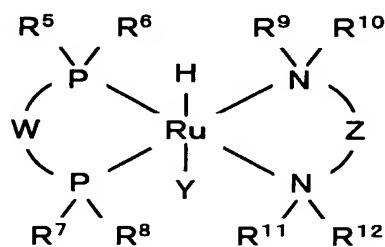
Examples of Cp in general formula (2) include, in addition to unsubstituted cyclopentadiene, alkyl-containing 10 cyclopentadiene such as mono-, di-, tri-, tetra-, or pentamethylcyclopentadiene.

X in general formula (1) or (2) is an anionic group. Examples thereof include a fluorine group, a chlorine group, a bromine group, an iodine group, a tetrafluoroborate group, 15 a tetrahydroborate group, a tetrakis[3,5-bis(trifluoromethyl)phenyl]borate group, an acetoxy group, a benzyloxy group, a (2,6-dihydroxybenzoyl)oxy group, a (2,5-dihydroxybenzoyl)oxy group, a (3-aminobenzoyl)oxy group, a (2,6-methoxybenzoyl)oxy group, 20 a (2,4,6-triisopropylbenzoyl)oxy group, a 1-naphthalenecarboxylic acid group, 2-naphthalenecarboxylic acid group, a trifluoroacetoxy group, a trifluoromethanesulfoxy group, and a trifluoromethanesulfonimide group. X is preferably a halogen 25 group such as a fluorine group, a chlorine group, a bromine group, or an iodine group.

R<sup>1</sup>, R<sup>2</sup>, and R<sup>3</sup> in general formula (1) or (2) may be the same or different and each preferably represent a phenyl group, a phenyl group containing a C<sub>1</sub>-C<sub>5</sub> alkyl group, a phenyl group containing a C<sub>1</sub>-C<sub>5</sub> alkoxy group, or a phenyl group containing 5 a halogen substituent; and R<sup>4</sup> is preferably a hydrogen atom. Since a bidentate ligand, an ethylene diamine derivative (R<sup>3</sup>SO<sub>2</sub>NHCHR<sup>1</sup>CHR<sup>2</sup>NHR<sup>4</sup>), is coordinated to ruthenium in general formula (1) and to rhodium or iridium in general formula (2), specific preferable examples of R<sup>1</sup> to R<sup>4</sup> are described below 10 as the examples of ethylenediamine derivatives. Namely, examples of the ethylenediamine derivatives include TsDPEN (N-(p-toluenesulfonyl)-1,2-diphenylethylenediamine, MsDPEN (N-methanesulfonyl-1,2-diphenylethylenediamine, N-methyl-N'-(p-toluenesulfonyl)-1,2-diphenylethylenediamine, 15 N-(p-methoxyphenylsulfonyl)-1,2-diphenylethylenediamine, N-(p-chlorophenylsulfonyl)-1,2-diphenylethylenediamine, N-trifluoromethanesulfonyl-1,2-diphenylethylenediamine, N-(2,4,6-trimethylbenzenesulfonyl)-1,2-diphenylethylenediamine, 20 N-(2,4,6-triisopropylbenzenesulfonyl)-1,2-diphenylethylene diamine, N-(4-tert-butylbenzenesulfonyl)-1,2-diphenylethylenediamine, N-(2-naphthylsulfonyl)-1,2-diphenylethylenediamine, N-(3,5-dimethylbenzenesulfonyl)-1,2-diphenylethylenediamine, 25 N-pentamethylbenzenesulfonyl-1,2-diphenylethylenediamine, and 1,2-N-tosylcyclohexanediamine.

The present invention also provides a third process for producing an optically active alcohol including placing a metal complex represented by formula (3) and a ketone compound in a polar solvent and stirring the mixture under pressurized 5 hydrogen to hydrogenate the ketone compound and to thereby produce the optically active alcohol:

General Formula (3)



(where W is an optionally substituted bonding chain;

10 R<sup>5</sup> to R<sup>8</sup> may be the same or different and each represent an optionally substituted hydrocarbon group; R<sup>5</sup> and R<sup>6</sup> may bind each other to form an optionally substituted carbon chain ring; and R<sup>7</sup> and R<sup>8</sup> may bind each other to form an optionally substituted carbon chain ring;

15 R<sup>9</sup> to R<sup>12</sup> may be the same or different and each represent a hydrogen atom or an optionally substituted hydrocarbon group;

Z is an optionally substituted hydrocarbon chain;

Y is an anionic group other than BH<sub>4</sub>; and

each ligand of the ruthenium may be at any position.)

20 Also according to this third process, since hydrogenation of a ketone compound proceeds under pressurized hydrogen, an optically active alcohol can be obtained from the

ketone compound, hydrogenation of which has been difficult, in high yield and with high stereoselectivity.

Examples of the optionally substituted hydrocarbon group for R<sup>5</sup> to R<sup>8</sup> of general formula (3) include saturated or 5 unsaturated aliphatic or alicyclic hydrocarbons, monocyclic or polycyclic aromatic or aromatic aliphatic hydrocarbons, and these hydrocarbon groups with substituents. For example, selection may be made from hydrocarbon groups such as alkyl, alkenyl, cycloalkyl, cycloalkenyl, phenyl, naphthyl, and 10 phenylalkyl, and hydrocarbon groups with various acceptable substituents such as alkyl, alkenyl, cycloalkyl, aryl, alkoxy, ester, acyloxy, a halogen atom, nitro, or cyano. When R<sup>5</sup> and R<sup>6</sup> or R<sup>7</sup> and R<sup>8</sup> bind each other to form an optionally substituted carbon chain ring, R<sup>5</sup> and R<sup>6</sup> or R<sup>7</sup> and R<sup>8</sup> may be selected from those 15 carbon chains having various acceptable substituents, such as alkyl, alkenyl, cycloalkyl, aryl, alkoxy, ester, acyloxy, a halogen atom, nitro, and cyano on the carbon chain.

W in general formula (3) is a bonding chain that may have a substituent. Examples of the bonding chain include divalent 20 hydrocarbon chains (e.g., linear hydrocarbon chains such as -CH<sub>2</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>3</sub>-, and -(CH<sub>2</sub>)<sub>4</sub>-; branched hydrocarbon chains such as -CH<sub>2</sub>CH(CH<sub>3</sub>)- and -CH(CH<sub>3</sub>)CH(CH<sub>3</sub>)-; and cyclic hydrocarbons such as -C<sub>6</sub>H<sub>4</sub>- and -C<sub>6</sub>H<sub>10</sub>-), divalent binaphthyl, divalent biphenyl, divalent paracyclophane, divalent 25 bipyridine, and divalent heterocyclic rings. Of these, a binaphthyl group which is bonded to phosphorus atoms at

2-position and 2'-position and which may have a substituent in any other position is preferable. The bonding chain may include any one of various acceptable substituents such as alkyl, alkenyl, cycloalkyl, aryl, alkoxy, ester, acyloxy, a 5 halogen atom, nitro, and cyano.

In general formula (3), a bidentate ligand, namely, a diphosphine derivative ( $R^5R^6P-W-PR^7R^8$ ), is coordinated to ruthenium; therefore, specific preferable examples of  $R^5$  to  $R^8$  and W are described below as examples of diphosphine derivatives. That is, examples of the diphosphine derivatives include BINAP (2,2'-bis(diphenylphosphino)-1,1'-binaphthyl), TolBINAP (2,2'-bis[(4-methylphenyl)phosphino]-1,1'-binaphthyl), XylBINAP (2,2'-bis[(3,5-dimethylphenyl)phosphino]-1,1'-binaphthyl), 2,2'-bis[(4-tert-butylphenyl)phosphino]-1,1'-binaphthyl), 2,2'-bis[(4-isopropylphenyl)phosphino]-1,1'-binaphthyl), 2,2'-bis[(naphthalen-1-yl)phosphino]-1,1'-binaphthyl), 2,2'-bis[(naphthalen-2-yl)phosphino]-1,1'-binaphthyl), 20 BICHEMP (2,2'-bis(dicyclohexylphosphino)-6,6'-dimethyl-1,1'-biphenyl), BPPFA (1-[1,2-bis-(diphenylphosphino)ferrocenyl]ethylamine), CHIRAPHOS (2,3-bis(diphenylphosphino)butane, CYCPHOS (1-cyclohexyl-1,2-bis(diphenylphosphino)ethane), DEGPHOS (1-substituted-3,4-bis(diphenylphosphino)pyrrolidine), DIOP

(2,3-isopropylidene-2,3-dihydroxy-1,4-bis((diphenylphosphino)butane), SKEWPHOS (2,4-bis(diphenylphosphino)pentane), DuPHOS (substituted-1,2-bis(phosphorano)benzene), DIPAMP (1,2-bis[(o-methoxyphenyl)phenylphosphino]ethane), NORPHOS 5 (5,6-bis(diphenylphosphino)-2-norbornene), PROPHOS (1,2-bis(diphenylphosphino)propane, PHANEPHOS (4,12-bis(diphenylphosphino)-[2,2']-paracyclophe), and substituted-2,2'-bis(diphenylphosphino)-1,1'-bipyridine).

Examples of the hydrocarbon group for R<sup>9</sup> to R<sup>12</sup> in general 10 formula (3) include C<sub>1</sub> to C<sub>10</sub> hydrocarbon groups such as a methyl group, an ethyl group, a propyl group, and a benzyl group. These hydrocarbon groups may have various acceptable substituents such as alkyl, alkenyl, cycloalkyl, aryl, alkoxy, ester, acyloxy, a halogen atom, nitro, and cyano.

15 Examples of the hydrocarbon chain for Z in general formula (3) include linear hydrocarbon chains such as -CH<sub>2</sub>-, -(CH<sub>2</sub>)<sub>2</sub>-, -(CH<sub>2</sub>)<sub>3</sub>-, and -(CH<sub>2</sub>)<sub>4</sub>-; branched hydrocarbon chains such as -CH<sub>2</sub>CH(CH<sub>3</sub>)- and -CH(CH<sub>3</sub>)CH(CH<sub>3</sub>)-; and cyclic hydrocarbons such as -C<sub>6</sub>H<sub>4</sub>- and -C<sub>6</sub>H<sub>10</sub>-. These hydrocarbon 20 chains may include various acceptable substituents such as alkyl, alkenyl, cycloalkyl, aryl, alkoxy, ester, acyloxy, a halogen atom, nitro, and cyano. Of these, a phenyl group is preferable as the substituent.

In general formula (3), a bidentate ligand, namely, a 25 diamine derivative (R<sup>9</sup>R<sup>10</sup>N-Z-NR<sup>11</sup>R<sup>12</sup>), is coordinated to ruthenium; therefore, specific preferable examples of R<sup>9</sup> to

$R^{12}$  and  $Z$  are described below as examples of diamine derivatives.

That is, examples of the diamine derivative include DPEN  
(1,2-diphenylethylenediamine),

N-methyl-1,2-diphenylethylenediamine,

5 N,N'-dimethyl-1,2-diphenylethylenediamine,

1,2-cyclohexanediamine, DAIPEN

(1-isopropyl-2,2-di(p-methoxyphenyl)ethylenediamine),

1,2-cycloheptanediamine, 2,3-dimethylbutanediamine,

1-methyl-2,2-diphenylethylenediamine,

10 1-isopropyl-2,2-diphenylethylenediamine,

1-methyl-2,2-di(p-methoxyphenyl)ethylenediamine,

1-ethyl-2,2-di(p-methoxyphenyl)ethylenediamine,

1-phenyl-2,2-di(p-methoxyphenyl)ethylenediamine,

1-benzyl-2,2-di(p-methoxyphenyl)ethylenediamine, and

15 1-isobutyl-2,2-di(p-methoxyphenyl)ethylenediamine. Of

these, DPEN or DAIPEN is preferable. Among these, an optically

active diamine derivative is preferable. The optically active

diamine derivative is not limited to those described above,

and various optically active propanediamine, butanediamine,

20 phenylenediamine, and cyclohexanediamine derivatives may be

used.

In general formula (3),  $Y$  represents an anionic group other than a tetrahydroborate group ( $BH_4^-$ ), and examples thereof include a fluorine group, a chlorine group, a bromine group,

25 an iodine group, an acetoxy group, a benzyloxy group, a

(2,6-dihydroxybenzoyl)oxy group, a (2,5-dihydroxybenzoyl)oxy

group, a (3-aminobenzoyl)oxy group, a (2,6-methoxybenzoyl)oxy group, a (2,4,6-triisopropylbenzoyl)oxy group, a 1-naphthalenecarboxylic acid group, a 2-naphthalenecarboxylic acid group, a trifluoroacetoxy group, 5 a trifluoromethanesulfoxy group, a trifluoromethanesulfonimide group, and a tetrafluoroborate group ( $\text{BF}_4^-$ ). Of these, a halogen group such as a fluorine group, a chlorine group, a bromine group, or an iodine group is preferable as Y.

10 The metal complexes represented by general formulae (1) to (3) may each include one or more coordinating organic solvents. Examples of the coordinating organic solvent include aromatic hydrocarbon solvents such as toluene and xylene, aliphatic hydrocarbon solvents such as pentane and hexane, halogen-containing hydrocarbon solvents such as 15 methylene chloride, ether solvents such as ether and tetrahydrofuran, alcohol solvents such as methanol, ethanol, 2-propanol, butanol, and benzyl alcohol, ketone solvents such as acetone, methyl ethyl ketone, and cyclohexyl ketone, and 20 heteroatom-containing organic solvents such as acetonitrile, dimethylformamide (DMF), N-methylpyrrolidone, dimethyl sulfoxide (DMSO), and triethylamine.

Methods for preparing ruthenium, rhodium, and iridium complexes represented by general formulae (1) and (2) are 25 disclosed in Angew. Chem., Int. Ed. Engl. Vol. 36, p. 285 (1997), J. Org. Chem. Vol. 64, p. 2186 (1999), and the like. That is,

synthesis is possible through reaction between a sulfonyl diamine ligand and a ruthenium, rhodium, or iridium complex having a ligand X. Alternatively, synthesis is possible through reaction between HX and a metal amide complex having 5 a sulfonyl diamine ligand.

The method of preparing a ruthenium complex represented by general formula (3) is disclosed in Angew. Chem., Int. Ed. Engl. Vol. 37, p. 1703 (1998), Organometallics vol. 21, p. 1047 (2001), or the like. That is, a ruthenium hydride complex 10 having a ligand X is reacted with a diphosphine ligand and then with a diamine ligand. Alternatively, a ruthenium halide is reacted with a diphosphine ligand and then with a diamine ligand to prepare a ruthenium halide complex having a diphosphine ligand and a diamine ligand, and then the ruthenium halide 15 complex is reduced to prepare the target ruthenium complex.

Examples of the ruthenium complex which is used as the starting material for the ruthenium complex represented by general formula (1) include inorganic ruthenium compounds such as ruthenium(III) chloride hydrate, ruthenium(III) bromide 20 hydrate, and ruthenium(III) iodide hydrate; diene-liganded ruthenium compounds, such as a [ruthenium dichloride(norbornadiene)] polynuclear complex, a [ruthenium dichloride(cycloocta-1,5-diene)] polynuclear complex, and bis(methylallyl)ruthenium(cycloocta-1,5-diene); 25 aromatic-compound-liganded ruthenium complexes such as a [ruthenium dichloride(benzene)] polynuclear complex, a

[ruthenium dichloride(p-cymene)] polynuclear complex, a [ruthenium dichloride(trimethylbenzene)] polynuclear complex, and a [ruthenium dichloride(hexamethylbenzene)] polynuclear complex; phosphine-ligated complexes such as

5 dichlorotris(triphenylphosphine)ruthenium; ruthenium dichloride(dimethylformamide)<sub>4</sub>; and chlorohydridetris(triphenylphosphine)ruthenium. The ruthenium complex may be any other ruthenium complex that has a ligand substitutable with an optically active diphosphine 10 compound and an optically active diamine compound and is not limited to those described above. For example, various ruthenium complexes disclosed in COMPREHENSIVE ORGANOMETALLIC CHEMISTRY II Vol. 7, pp. 294-296 (PERGAMON) can be used as the starting material.

15 Examples of the rhodium and iridium complexes that can be used as the starting materials for the asymmetric rhodium complex and the asymmetric iridium complex represented by general formula (2) include inorganic ruthenium compounds such as rhodium(III) chloride hydrate, rhodium(III) bromide hydrate, 20 and rhodium(III) iodide hydrate, a [pentamethylcyclopentadienylrhodium dichloride] polynuclear complex, a [pentamethylcyclopentadienylrhodium dibromide] polynuclear complex, and a [pentamethylcyclopentadienylrhodium diiodide] polynuclear 25 complex.

The reaction between the starting materials, i.e., the

ruthenium, rhodium, and iridium complexes, and the ligands are carried out in at least one solvent selected from the group consisting of aromatic hydrocarbon solvents such as toluene and xylene, aliphatic hydrocarbon solvents such as pentane and 5 hexane, halogen-containing hydrocarbon solvents such as methylene chloride, ether solvents such as ether and tetrahydrofuran, alcohol solvents such as methanol, ethanol, 2-propanol, butanol, and benzyl alcohol, and heteroatom-containing organic solvents such as acetonitrile, 10 DMF, N-methylpyrrolidone, and DMSO, at a reaction temperature of 0°C to 200°C to thereby yield a metal complex.

According to each of the first to third processes of the present invention, a metal complex represented by general formula (1) to (3) and ketone are placed in a polar solvent 15 and mixed under pressurized hydrogen to hydrogenate the ketone compounds. The pressure of the hydrogen is preferably 1 to 200 atm and more preferably 5 to 150 atm from the standpoint of economy. The reaction can be carried out in the range of -50°C to 100°C, preferably in the range of -30°C to 50°C, and 20 most preferably in the range of 20°C to 50°C. The reaction time differs depending on reaction conditions including the reaction substrate concentration, temperature, pressure, and the like. Typically, the reaction is completed in several minutes to several days and frequently in 5 to 24 hours. The 25 purification of the reaction product can be conducted by a known method such as column chromatography, distillation,

recrystallization or the like. In using the metal complex represented by general formula (1) or (2), an amide complex corresponding to the metal complex represented by general formula (1) or (2) may be added (e.g., metal complex:amide complex = 1.0:0 to 1.0 molar equivalent), or, HX (X as defined above) may be added to the metal complex represented by general formula (1) or (2) (e.g., metal complex:HX = 1.0:0 to 0.5 molar equivalents). Furthermore, hydrogenation reaction of the ketone compound may be carried out in the reaction system after preparation of the metal catalyst represented by general formula (1) or (2) from the corresponding amide complex and HX (e.g., amide complex:HX = 1.0:0.5 to 1.5 molar equivalents).

Examples of the polar solvent used in the first to third processes of the present invention include alcohol solvents such as methanol, ethanol, 2-propanol, 2-methyl-2-propanol, and 2-methyl-2-butanol, ether solvents such as tetrahydrofuran (THF) and diethyl ether, and heteroatom-containing solvents such as DMSO, DMF, and acetonitrile. These solvents may be used alone or in combination. A mixed solvent containing the polar solvent above and a solvent other than those described above may also be used. Among these polar solvents, alcohol solvents are preferable, methanol and ethanol are more preferable, and methanol is most preferable.

The amounts of the metal complexes represented by general formulae (1) to (3) used in the first to third processes of the present invention are preferably in the range of S/C of

10 to 100,000 and more preferably in the range of S/C of 50 to 10,000, where S/C is a molar ratio of the ketone compound to the metal complex, S representing the substrate and C representing the catalyst.

5       In the reaction systems according to the first to third processes of the present invention, a salt of an organic or inorganic substance may be added if necessary. Examples of the salt include ionic salts such as lithium perchlorate, sodium perchlorate, magnesium perchlorate, barium perchlorate, 10 calcium perchlorate, lithium hexafluorophosphate, sodium hexafluorophosphate, magnesium hexafluorophosphate, calcium hexafluorophosphate, lithium tetrafluoroborate, sodium tetrafluoroborate, magnesium tetrafluoroborate, calcium tetrafluoroborate, lithium tetraphenylborate, sodium tetraphenylborate, magnesium tetraphenylborate, and calcium tetraphenylborate. To the metal complex, 1 to 1,000 molar equivalents of the salt may be added to hydrogenate the ketone. Preferably, 10 to 200 molar equivalents of a perchlorate is used relative to the metal complex.

20       The asymmetric carbons in the metal complexes represented by general formulae (1) to (3) of the first to third processes of the present invention can be obtained as either the (R) isomer or the (S) isomer. By selecting either one of the (R) and (S) isomers, the target (R) or (S) isomer of an 25 optically active alcohol can be obtained at high selectivity.

According to the first to third processes of the present

invention, it is not essential to add a base to the reaction system. Hydrogenation reaction of the ketone compound rapidly proceeds without addition of the base. However, this should not be taken to exclude addition of the base; for example, a 5 small amount of a base may be added depending on the reaction substrate.

As described above, the processes for producing an optically active alcohol according to the first to third processes of the present invention do not essentially require 10 a base to conduct hydrogenation of the ketone compound. Thus, a ketone compound that is unstable in the presence of bases can be hydrogenated to obtain a corresponding optically active alcohol. In particular, a cyclic ketone can be hydrogenated to give an optically active cyclic alcohol; a ketone having 15 an olefin moiety or an acetylene moiety (especially a ketone in which the  $\alpha, \beta$ -bond is the olefin moiety or acetylene moiety) can be hydrogenated to give an optically active alcohol having an olefin moiety or an acetylene moiety; a ketone having a hydroxyl group can be hydrogenated to give an optically active 20 alcohol having a hydroxyl group; a ketone having a halogen substituent (especially a ketone having a halogen substituent at the  $\alpha$ -position) can be hydrogenated to give an optically active alcohol having a halogen substituent; a chromanone derivative can be hydrogenated to give an optically active 25 chromanol; a diketone can be hydrogenated to give an optically active diol; a ketoester can be hydrogenated to give an

5        optically active hydroxy ester; and a ketoamide can be hydrogenated to give an optically active hydroxyamide. The representative examples of the ketone compounds applicable to the first and third processes of the present invention are shown in Figs. 1 to 7.

#### Brief Description of the Drawings

10       Fig. 1 is a first illustration that shows the structures of ketone compounds to which the process of producing an optically active alcohol of the present invention is applicable; Fig. 2 is a second illustration that also shows structures of ketone compounds; Fig. 3 is a third illustration that also shows structures of ketone compounds; Fig. 4 is a fourth illustration that also shows structures of ketone compounds; Fig. 5 is a fifth illustration that also shows structures of ketone compounds; Fig. 6 is a sixth illustration that also shows structures of ketone compounds; and Fig. 7 is a seventh illustration that also shows structures of ketone compounds.

20

#### EXAMPLES

Hydrogenation of a carbonyl compound of the present invention may be conducted in a batch or continuous-flow system. Examples are described below to further describe the present invention in detail. It is to be understood that the present invention is not limited by the examples described below.

In the examples described below, the solvent used for the reaction was dried and degassed. For NMR measurements, JNM-LA400 (400 MHz, produced by JEOL Ltd.) and JNM-LA500 (500 MHz, produced by JEOL Ltd.) were used. For <sup>1</sup>H-NMR, 5 tetramethylsilane (TMS) was used as the internal standard, and for <sup>31</sup>P-NMR, 85% phosphoric acid was used as the external standard. For these signals,  $\delta = 0$  ( $\delta$  denotes the chemical shift) was assumed. Optical purity was measured by gas chromatography (GC) or high-performance liquid chromatography (HPLC). GC measurement was conducted using Chirasil-DEX CB (0.25 mm  $\times$  25 m, DF = 0.25  $\mu$ m) (produced by CHROMPACK), and HPLC measurement was conducted using a chiral compound isolation column (produced by Daicel). The metal complex represented by general formula (1) was synthesized by the 10 technique disclosed in Angew. Chem., Int. Ed. Engl. Vol. 36, p. 285 (1997), the metal complex represented by general formula (2) was synthesized by the technique disclosed in J. Org. Chem. Vol. 64, p. 2186 (1999), and the metal complex represented by general formula (3) was synthesized by the technique disclosed 15 in Angew. Chem., Int. Ed. Engl. Vol. 37, p. 1703 (1998) and Organometallics Vol. 21, p.1047 (2001).

20

[EXAMPLE 1]

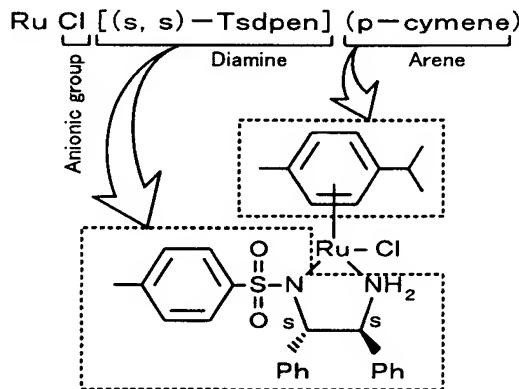
An example of synthesizing (S)-4-phenyl-3-butyn-2-ol by hydrogenation of 4-phenyl-3-butyn-2-one is described below. 25 A 50 mL stainless steel autoclave was charged with a ruthenium complex, RuCl[(S,S)-Tsdpen](p-cymene), (1.6 mg, 0.0025 mmol),

followed by argon substitution. 4-Phenyl-3-butyn-2-one (0.291 mL, 2 mmol) and methanol (5 mL) were added. After pressurization with hydrogen, substitution was conducted five times. Hydrogen was charged to 50 atm to initiate reaction.

5 After the reaction mixture was stirred for 11 hours at 30°C, the reaction pressure was reduced to normal. The product was analyzed by  $^1\text{H-NMR}$  and HPLC reporting synthesis of (S)-4-phenyl-3-butyn-2-ol in 90% ee and 63% yield. For the purpose of this description, in the nomenclature of the

10 ruthenium complex, the metal atom, the anionic group, the diamine ligand, and the arene ligand are presented in this order from the left (see formula (4) below):

Formula (4)



15 [COMPARATIVE EXAMPLE 1]

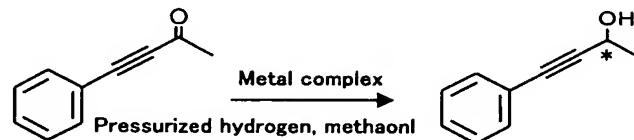
Reaction was conducted under the same conditions as in EXAMPLE 1 but without pressurization with hydrogen. The target substance was not obtained.

[EXAMPLES 2-10]

20 Reaction was conducted under the same conditions as in

EXAMPLE 1 but with different catalysts and/or hydrogen pressures to synthesize (S)-4-phenyl-3-butyn-2-ol. The results are shown in Table 1.

Table 1



Examples	chiral Ru cat	H <sub>2</sub> (atm)	yield (%)	ee (%)	config
2	RuCl[(S,S)-Tsdpn]( <i>p</i> -cymene)	9	18	81	S
3	RuCl[(S,S)-Tsdpn](dmb)	50	32	91	S
4	RuCl[(S,S)-Tsdpn](mesitylene)	50	100	79	S
5	RuCl[(S,S)-Tsdpn](teb)	50	61	91	S
6	RuCl[(S,S)-Tsdpn](durene)	50	29	71	S
7	RuCl[(S,S)-Tsdpn](pmb)	50	30	89	S
8	RuCl[(S,S)-Tsdpn](hmb)	50	78	88	S
9	RuCl[(S,S)-Msdpn]( <i>p</i> -cymene)	50	78	88	S
10	RuCl[(S,S)-(5,6,7,8-tetrahydronaphthalen- e-2-yl)sulfonyl-dpn]( <i>p</i> -cymene)	50	69	91	S

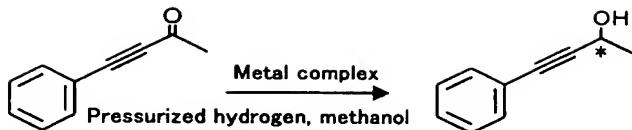
Conditions: chiral Ru cat 0.0025 mmol, CH<sub>3</sub>OH 5 ml, S/C = 800, temp 30 °C, time 11 h, [ketone] = 0.4 M, dmb: 1,4-dimethylbenzene, teb: 1,3,5-triethylbenzene, durene: 1,2,4,5-tetramethylbenzene, pmb: pentamethylbenzene, hmb: hexamethylbenzene.

5

[ EXAMPLES 11-19 ]

Reaction was conducted under the same conditions as in EXAMPLE 1 but with different substrate concentrations, reaction temperature, and/or additives to synthesize 10 (S)-4-phenyl-3-butyn-2-ol. The results are shown in Table 2.

Table 2



Examples	additive	temp, °C	yield (%)	ee (%)	config
11	-	50	50	87	S
12 <sup>a</sup>	-	30	27	75	S
13 <sup>b</sup>	-	30	33	88	S
14	NaClO <sub>4</sub> 0.125 mmol	30	88	92	S
15	LiClO <sub>4</sub> 0.125 mmol	30	80	92	S
16	KClO <sub>4</sub> 0.125 mmol	30	64	92	S
17	BaClO <sub>4</sub> 0.125 mmol	30	69	93	S
18	NaPF <sub>6</sub> 0.125 mmol	30	75	90	S
19	NaBF <sub>4</sub> 0.125 mmol	30	77	93	S

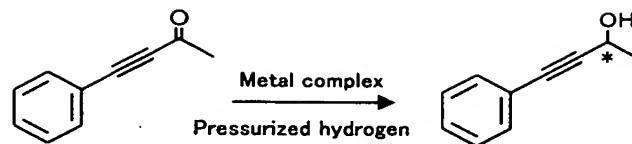
Conditions: [ketone] = 0.4 M in CH<sub>3</sub>OH, RuCl[(S,S)-Tsdpen](*p*-cymene) 0.0025 mmol, S/C = 800, H<sub>2</sub> 50 atm, time 11 h, solvent 5 ml,

<sup>a</sup> [ketone] = 0.1 M, <sup>b</sup> [ketone] = 1.0 M.

### [ EXAMPLES 20-26 ]

Reaction was conducted under the same conditions as in EXAMPLE 1 but with different catalysts and solvents and use 5 of additives to synthesize (S)-4-phenyl-3-butyn-2-ol. The results are shown in Table 3.

Table 3



Examples	chiral Ru cat	solvent	additive	yield (%)	ee (%)	config
20	RuCl[(S,S)-Tsdpen]( <i>p</i> -cymene)	CH <sub>3</sub> OH:H <sub>2</sub> O = 99:1	NaClO <sub>4</sub> 0.125 mmol	79	89	S
21	RuCl[(S,S)-Tsdpen]( <i>p</i> -cymene)	CH <sub>3</sub> OH:THF = 80:20	NaClO <sub>4</sub> 0.125 mmol	53	93	S
22	RuCl[(S,S)-Tsdpen]( <i>p</i> -cymene)	DMF:H <sub>2</sub> O = 80:20	NaClO <sub>4</sub> 0.125 mmol	37	92	S
23	RuCl[(S,S)-Tsdpen]( <i>p</i> -cymene)	CH <sub>3</sub> OH	NaClO <sub>4</sub> 0.025 mmol	68	92	S
24	RuCl[(S,S)-Tsdpen]( <i>p</i> -cymene)	CH <sub>3</sub> OH	NaClO <sub>4</sub> 2.5 mmol	64	92	S
25	RuCl[(S,S)-Tsdpen](mesitylene) <sup>a</sup>	CH <sub>3</sub> OH	NaClO <sub>4</sub> 0.125 mmol	69	90	S
26	RuCl[(S,S)-Tsdpen](mesitylene) <sup>b</sup>	CH <sub>3</sub> OH	NaClO <sub>4</sub> 0.125 mmol	90	94	S

Conditions: [ketone] = 0.4 M, chiral Ru cat 0.0025 mmol, S/C = 800, H<sub>2</sub> 50 atm, temp 30 °C, time 11 h, solvent 5 ml, <sup>a</sup> S/C = 2000. <sup>b</sup> S/C = 2000, H<sub>2</sub> 100 atm.

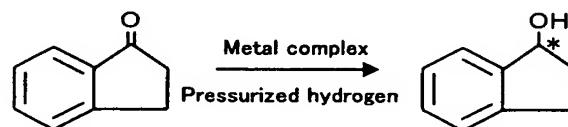
[ EXAMPLE 27 ]

An example of synthesizing (S)-indanol by hydrogenation of 1-indanone is described below. A 50 mL stainless steel 5 autoclave was charged with a ruthenium complex, RuCl[(S,S)-Tsdpen](*p*-cymene), (1.6 mg, 0.0025 mmol) and 1-indanone (330 mg, 2.5 mmol), followed by argon substitution. Methanol (5 mL) was added. Hydrogen was pressurized and substitution was conducted five times. Hydrogen was charged 10 to 50 atm to initiate reaction. After the reaction mixture was stirred for 11 hours at 30 °C, the reaction pressure was reduced to normal. The product was analyzed by <sup>1</sup>H-NMR and HPLC reporting synthesis of (S)-indanol in 98% ee and 48% yield.

[EXAMPLES 28-31]

Reaction was conducted under the same conditions as in EXAMPLE 27 but with different catalysts, solvents, hydrogen pressures, and reaction times, and use of additives to 5 synthesize an optically active indanol. The results are shown in Table 4.

Table 4



Example	chiral Ru cat	solvent	H <sub>2</sub> (atm)	yield (%)	ee (%)	config
28	RuCl[(S,S)-Tsdpen](mesitylene)	CH <sub>3</sub> OH	50	89	98	S
29	RuCl[(S,S)-Tsdpen](mesitylene)	C <sub>2</sub> H <sub>5</sub> OH	50	20	76	S
30	RuCl[(S,S)-Tsdpen](mesitylene)	CH <sub>3</sub> OH	100	86	98	S
31	RuCl[(S,S)-Tsdpen](mesitylene) <sup>a</sup>	CH <sub>3</sub> OH	50	98	98	S

Conditions: chiral Ru cat 0.0025 mmol, solvent 5 mL, S/C = 1000, temp 30 °C, time 11 h, [ketone] = 0.5 M. <sup>a</sup>24 h.

[EXAMPLE 32]

10 An example of synthesizing optically active 2-chloro-1-phenylethanol by hydrogenation of α-chloroacetophenone is described below. A 50 mL stainless steel autoclave was charged with a ruthenium complex, RuCl[(S,S)-Tsdpen](mesitylene) (1 mg, 0.0016 mmol) and 15 α-chloroacetophenone (247 mg, 1.6 mmol). After argon substitution, methanol (3.2 mL) was added. Hydrogen was pressurized and substitution was conducted five times. Hydrogen was charged to 50 atm to initiate reaction. After

stirring for 24 hours at 30°C, the reaction pressure was reduced to normal. The product was analyzed by  $^1\text{H-NMR}$  and GC reporting synthesis of (R)-2-chloro-1-phenylethanol in 98% ee and 100% yield.

5 [EXAMPLE 33]

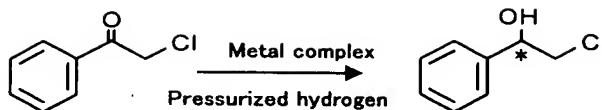
An example of synthesizing optically active 2-chloro-1-phenylethanol by hydrogenation of  $\alpha$ -chloroacetophenone is described below. A 50 mL stainless steel autoclave was charged with a ruthenium complex,

10  $\text{RuCl}[(\text{S,S})\text{-Tsdpen}](\text{mesitylene})$  (1 mg, 0.0016 mmol), and  $\alpha$ -chloroacetophenone (1235 mg, 8.0 mmol). After argon substitution, methanol (16.0 mL) was added. Hydrogen was pressurized and substitution was conducted five times. Hydrogen was charged to 100 atm to initiate reaction. After 15 stirring for 22 hours at 30°C, the reaction pressure was reduced to normal. The product was analyzed by  $^1\text{H-NMR}$  and GC reporting synthesis of (R)-2-chloro-1-phenylethanol in 97% ee and 85% yield.

[EXAMPLE 34-40]

20 Reaction was conducted under the same conditions as in EXAMPLE 32 but with different catalysts, hydrogen pressures, and reaction times to synthesize (R)-2-chloro-1 phenylethanol. The results are shown in Table 5.

Table 5



Examples chiral Ru cat	S/C	H <sub>2</sub> (atm)	yield (%)	ee (%)	config
34 RuCl[(S,S)-Tsdpen]( <i>p</i> -cymene)	1000	50	48	92	<i>R</i>
35 RuCl[(S,S)-Tsdpen](mesitylene)	1500	50	100	98	<i>R</i>
36 RuCl[(S,S)-Tsdpen](mesitylene)	2000	50	88	98	<i>R</i>
37 RuCl[(S,S)-Tsdpen](mesitylene)	2000	100	100	98	<i>R</i>
38 RuCl[(S,S)-Tsdpen](mesitylene)	3000	100	100	97	<i>R</i>
39 RuCl[(S,S)-Tsdpen](mesitylene)	4000	100	96	98	<i>R</i>
40 RuCl[(S,S)-Tsdpen](mesitylene) <sup>a</sup>	5000	50	46	97	<i>R</i>

Conditions: chiral Ru cat 0.0016 mmol, solvent CH<sub>3</sub>OH, temp 30 °C, time 24 h, [ketone] = 0.5 M. <sup>a</sup> 15 h.

[EXAMPLE 41]

An example of synthesizing optically active 2-chloro-1-phenylethanol by hydrogenation of 5  $\alpha$ -chloroacetophenone is described below. Reaction was conducted under the same conditions as those of EXAMPLE 32 except that the reaction was conducted in the presence of a catalyst prepared from a ruthenium complex, Ru[(S,S)-Tsdpen](*p*-cymene), and HBF<sub>4</sub> and in a 10 methanol/tert-butyl alcohol (1:1) mixture under a hydrogen pressure of 50 atm. As a result, (R)-2-chloro-1-phenylethanol was obtained in 95% ee and 100% yield.

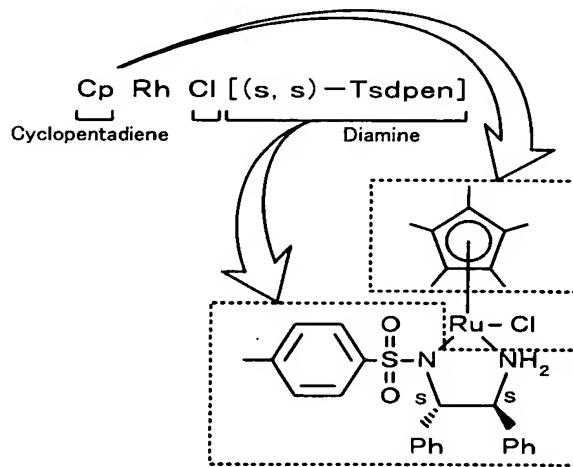
[EXAMPLE 42]

An example of synthesizing optically active 15 2-chloro-1-phenylethanol by hydrogenation of  $\alpha$ -chloroacetophenone is described below. Reaction was

conducted under the same conditions as those of EXAMPLE 32 except that ruthenium complex  $\text{CpRhCl}[(S,S)\text{-Tsdpen}]$  ( $\text{Cp}$ : pentamethylcyclopentadiene) was used as a catalyst and the reaction was conducted for 11 hours. As a result,

5 (R)-2-chloro-1-phenylethanol was obtained in 93% ee and 44% yield. Note that in the nomenclature of this ruthenium complex, the cyclopentadiene ligand, the metal atom, the anionic group, and the diamine ligand are presented in this order from the left (see formula (5) below):

10 Formula (5)



[EXAMPLE 43]

An example of synthesizing optically active 2-chloro-1-(p-methoxyphenyl)ethanol by hydrogenation of 15  $\alpha$ -chloro-p-methoxyacetophenone is described below. A 50 mL stainless-steel autoclave was charged with a ruthenium complex,  $\text{RuCl}[(S,S)\text{-Tsdpen}](\text{mesitylene})$  (1 mg, 0.0016 mmol),  $\alpha$ -chloro-p-methoxyacetophenone (1477 mg, 8.0 mmol), and  $\text{NaClO}_4$

(10 mg, 0.08 mmol). After argon substitution, methanol (16.0 mL) was added. Hydrogen was pressurized, and substitution was conducted five times. Hydrogen was charged to 100 atm to initiate reaction. After stirring for 24 hours at 30°C, the 5 reaction pressure was reduced to normal. The product was analyzed by  $^1\text{H-NMR}$  and GC reporting synthesis of (R)-2-chloro-1-(p-methoxyphenyl)ethanol in 98% ee and 93% yield.

[EXAMPLE 44]

10 An example of synthesizing optically active 2-chloro-1-(p-methoxyphenyl)ethanol by hydrogenation of  $\alpha$ -chloro-p-methoxyacetophenone is described below. A 50 mL stainless steel autoclave was charged with a ruthenium complex, RuCl[(S,S)-Tsdpen](mesitylene) (1 mg, 0.0016 mmol), 15  $\alpha$ -chloro-p-chloroacetophenone (605 mg, 3.2 mmol), and NaClO<sub>4</sub> (10 mg, 0.08 mmol). After argon substitution, methanol (6.4 mL) was added. Hydrogen was pressurized, and substitution was conducted five times. Hydrogen was charged to 100 atm to initiate reaction. After stirring for 24 hours at 30°C, the 20 reaction pressure was reduced to normal. The product was analyzed by  $^1\text{H-NMR}$  and GC reporting synthesis of (R)-2-chloro-1-(p-chlorophenyl)ethanol in 95% ee and 93% yield.

[EXAMPLE 45]

25 An example of synthesizing optically active 4-chromanol by hydrogenation of chromanone is described below. A 50 mL

stainless steel autoclave was charged with RuCl[(S,S)-Tsdpen](mesitylene) (1.0 mg, 0.0016 mmol) under argon. Then 4-chromanone (474 mg, 3.2 mmol) and methanol (6.4 mL) were added thereto. After pressurization with hydrogen, 5 substitution was conducted five times. Hydrogen was charged to 50 atm to initiate reaction. After stirring for 23 hours at 30°C, the reaction pressure was reduced to normal. The product was analyzed by <sup>1</sup>H-NMR and HPLC reporting synthesis of (S)-4-chromanol in 91% ee and 100% yield.

10 [EXAMPLE 46]

An example of synthesizing optically active 4-chromanol by hydrogenation of chromanone is described below. A 50 mL stainless steel autoclave was charged with

RuCl[(S,S)-Tsdpen](p-cymene) (1.0 mg, 0.0016 mmol) under argon.

15 Then 4-chromanone (474 mg, 3.2 mmol) and methanol (6.4 mL) were added thereto. After pressurization with hydrogen, substitution was conducted five times. Hydrogen was charged to 50 atm to initiate reaction. After stirring for 23 hours at 30°C, the reaction pressure was reduced to normal. The 20 product was analyzed by <sup>1</sup>H-NMR and HPLC reporting synthesis of (S)-4-chromanol in 97% ee and 85% yield.

[EXAMPLE 47]

An example of synthesizing optically active 4-chromanol by hydrogenation of chromanone is described below. A 50 mL 25 stainless steel autoclave was charged with

RuCl[(S,S)-Tsdpen](p-cymene) (1.0 mg, 0.0016 mmol) and NaClO<sub>4</sub>

(10 mg, 0.08 mmol) under argon. Then 4-chromanone (1185 mg, 8.0 mmol) and methanol (16 mL) were added thereto. After pressurization with hydrogen, substitution was conducted five times. Hydrogen was charged to 50 atm to initiate reaction.

5 After stirring for 23 hours at 30°C, the reaction pressure was reduced to normal. The product was analyzed by <sup>1</sup>H-NMR and HPLC reporting synthesis of (S)-4-chromanol in 97% ee and 93% yield.

[EXAMPLE 48]

An example of synthesizing optically active (3'-hydroxyphenyl)ethanol by hydrogenation of 3'-hydroxyacetophenone is described below. A 50 mL stainless steel autoclave was charged with RuCl[(S,S)-Tsdpen](mesitylene) (0.93 mg, 0.0015 mmol) and NaClO<sub>4</sub> (9.2 mg, 0.075 mmol) under argon. Then 15 3'-hydroxyacetophenone (613 mg, 4.5 mmol) and methanol (9 mL) were added thereto. After pressurization with hydrogen, substitution was conducted five times. Hydrogen was charged to 100 atm to initiate reaction. After stirring for 20 hours at 30°C, the reaction pressure was reduced to normal. The 20 product was analyzed by <sup>1</sup>H-NMR and HPLC reporting synthesis of optically active (3'-hydroxyphenyl)ethanol in 98% ee and 98% yield.

[EXAMPLE 49]

An example of synthesizing optically active 25 5,6-dihydro-4H-thieno[2,3-b]thiopyran-4-hydroxy-7,7-dioxid e by hydrogenation of

5,6-dihydro-4H-thieno[2,3-b]thiopyran-4-one-7,7-dioxide is described below. A 50 mL stainless steel autoclave was charged with RuCl[(S,S)-Tsdpen](mesitylene) (0.93 mg, 0.0015 mmol) and NaClO<sub>4</sub> (9.2 mg, 0.075 mmol) under argon. Then

5 5,6-dihydro-4H-thieno[2,3-b]thiopyran-4-one-7,7-dioxide (455 mg, 2.25 mmol) and methanol (22.5 mL) were added thereto. After pressurization with hydrogen, substitution was conducted five times. Hydrogen was charged to 100 atm to initiate reaction. After stirring for 24 hours at 30°C, the reaction 10 pressure was reduced to normal. The product was analyzed by <sup>1</sup>H-NMR and HPLC reporting synthesis of (S)-5,6-dihydro-4H-thieno[2,3-b]thiopyran-4-hydroxy-7,7-dioxide in 98% ee and 100% yield.

[EXAMPLE 50]

15 An example of synthesizing optically active 1,2-propanediol by hydrogenation of acetol is described below. A 50 mL stainless steel autoclave was charged with RuCl[(S,S)-Tsdpen](mesitylene) (0.93 mg, 0.0015 mmol) and NaClO<sub>4</sub> (9.2 mg, 0.075 mmol) under argon. Then acetol (111 mg, 20 1.5 mmol) and methanol (3.0 mL) were added thereto. After pressurization with hydrogen, substitution was conducted five times. Hydrogen was charged to 100 atm to initiate reaction. After stirring for 17 hours at 30°C, the reaction pressure was reduced to normal. The product was analyzed by <sup>1</sup>H-NMR and HPLC 25 reporting synthesis of (R)-1,2-propanediol in 63% ee and 97% yield.

[EXAMPLE 51]

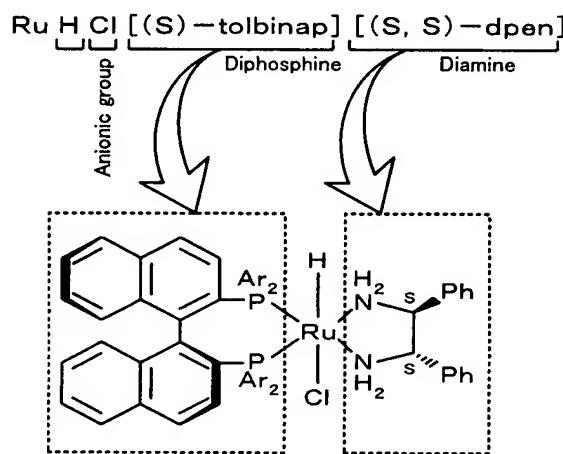
An example of synthesizing optically active 2,3-butanediol by hydrogenation of 2,3-butanedione is described below. A 50 mL stainless steel autoclave was charged with RuCl[(S,S)-Tsdpen](p-cymene) (0.95 mg, 0.0015 mmol) and NaClO<sub>4</sub> (9.2 mg, 0.075 mmol) under argon. Then 2,3-butanedione (129 mg, 1.5 mmol) and methanol (3.0 mL) were added thereto. After pressurization with hydrogen, substitution was conducted five times. Hydrogen was charged to 50 atm to initiate reaction. After stirring for 18 hours at 30°C, the reaction pressure was reduced to normal. The product was analyzed by <sup>1</sup>H-NMR and HPLC reporting synthesis of (S,S)-2,3-butanediol in yield of 47%.

[EXAMPLE 52]

An example of synthesizing (R)-4-phenyl-3-butyn-2-ol by hydrogenation of 4-phenyl-3-butyn-2-one is described below. A 50 mL stainless steel autoclave was charged with a ruthenium complex, RuHCl[(S)-tolbinap][(S,S)-dpen] (1 mg, 0.00097 mmol). After argon substitution, 4-phenyl-3-butyn-2-one (0.283 mL, 1.94 mmol) and methanol (1.9 mL) were added thereto. Hydrogen was pressurized and substitution was conducted (five times). Hydrogen was charged to 9 atm to initiate reaction. After stirring for 11 hours at 30°C, the reaction pressure was reduced to normal, and the reaction solution was analyzed by <sup>1</sup>H-NMR and HPLC to determine the determinate quantity and optical purity of the product, i.e., 4-phenyl-3-butyn-2-ol. As a result, (R)-4-phenyl-3-butyn-2-ol was obtained in 74% ee and

65% yield. In the nomenclature of this ruthenium complex, the metal atom, the hydrogen atom, the anionic group, the diphosphine ligand, and the diamine ligand are presented in this order from the left (see formula (6) below).

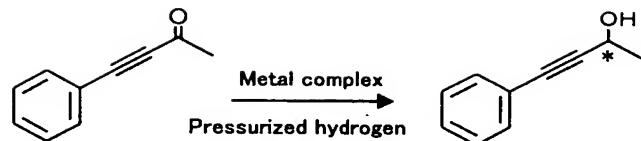
5 Formula (6)



[ EXAMPLES 53-54 ]

Reaction was conducted as in EXAMPLE 52 but with a ruthenium complex,  $\text{RuHCl}[(S,S)-\text{tolbinap}][(S,S)-\text{dpen}]$  as a catalyst and with different reaction temperatures and additives. The results are shown in Table 6.

Table 6



Examples chiral Ru cat	temp, °C	additive	yield (%)	ee (%)	config
53 RuHCl[(S)-tolbinap][(S,S)-dpen]	50	-	100	75	R
54 RuHCl[(S)-tolbinap][(S,S)-dpen]	30	NaClO <sub>4</sub> 0.05 mol	96	75	R
2 <sup>a</sup> RuH(BH <sub>4</sub> )[(S)-tolbinap][(S,S)-dpen]	30	NaClO <sub>4</sub> 0.05 mol	20	76	R

Conditions: chiral Ru cat 0.001 mmol, CH<sub>3</sub>OH 2 mL, S/C = 2000, time 11 h, H<sub>2</sub> 9 atm, [ketone] = 1.0 M.

<sup>a</sup> Comparative example

[COMPARATIVE EXAMPLE 2]

Reaction was conducted as in EXAMPLE 52 but with a ruthenium complex, RuH(BH<sub>4</sub>)[(S,S)-tolbinap][(S,S)-dpen], as 5 a catalyst and with different reaction temperature and additive. The results are shown in Table 6.

[COMPARATIVE EXAMPLE 3]

A 50 mL stainless steel autoclave was charged with a ruthenium complex, RuCl<sub>2</sub>[(S)-tolbinap][(S,S)-dpen] (1 mg, 10 0.00097 mmol) and KOt-Bu (0.1 mg, 0.00097 mmol). After argon substitution, 4-phenyl-3-butyne-2-one (0.283 mL, 1.94 mmol) and methanol (1.9 mL) were added thereto. Hydrogen was pressurized and substitution was conducted (five times). Hydrogen was charged to 9 atm to initiate reaction. After 15 stirring for 11 hours at 30°C, the reaction pressure was reduced to normal, and the reaction solution was analyzed by <sup>1</sup>H-NMR, reporting generation of only trace amounts of 4-phenyl-3-butyn-2-ol.

[COMPARATIVE EXAMPLE 4]

Reaction of 4-phenyl-3-butyn-2-one was conducted as in COMPARATIVE EXAMPLE 2 but in 2-propanol. The reaction solution was analyzed by  $^1\text{H-NMR}$ , reporting generation of only 5 trace amounts of 4-phenyl-3-butyn-2-ol.

Industrial Applicability

The present invention is applicable to production of an optically active alcohol usable as an intermediate or the like 10 of medicines, agricultural chemicals, and many general-purpose chemical agents.